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## Causes of preanalytical interferences on laboratory immunoassays – a critical review

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### ABSTRACT

The immunoassays methods need avoiding interferences that can influence result interpretation. Main sources of interference arise from either patient status, preparation and physiology or laboratory process and procedures.

The aim of this non-systematic critical review is to highlight the preanalytical interferences on laboratory immunoassays.

Blood hormone profile changes according with age and depending on sex: these are important variables, mainly in newborn, during both sexual maturation and childbearing. Gonadotropins FSH and LH show a sharp increase with age in females, whereas in males LH appears rather stable. With age both males and females show progressive decay of the hormone profile. Stress causes variations, as it influences GH, prolactin, cortisol and the total/free ratio of thyroid hormone. Diurnal variations, day of cycle, influence by estrogens on thyroid hormone are relevant for result variability. Paraproteins and autoantibodies can interfere in some assays particularly drug, vitamin D and thyroid hormone. As regards the variables due to sample matrix, and to evacuated tubes components, some additives and anticoagulants have been reported to influence specific assays, e.g. thyroid hormone. Hemolysis, lipemia and bilirubin cause interferences on specific techniques/tests, e.g. ferritin, TSH, Vitamin B12, progesterone and folic acid. Nicotine and cocaine addictions interfere with some hormones. Thus, laboratory professionals should be aware of preanalytical problems particularly important when dealing with the immunoassays, by taking appropriate actions to avoid any relevant interferences.

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#### **INTRODUCTION**

The immunoassays methods employ antibodies showing high affinity for epitopes on antigens in order to both detect them with high specificity and make the immune reaction detectable and measurable, using a range of indicator reactions or labels. Due to the nature of immune reactions, well-controlled conditions are needed in order to avoid non-specific binding. When analyzing biological samples such as serum or plasma (usual laboratory matrices), interferences are also possible due to similar compounds, such as other proteins presenting like epitopes (1).

All the above analytical interferences can account for several technical problems. Moreover, pathophysiological variables can also confound the interpretation of e.g. the pituitary-thyroid axis interaction (2). Generally, TSH-independent states are observed when the disorder affects primarily the thyroid gland, but there are nonthyroid conditions that can alter the interaction and confound the diagnosis, such as trophoblastic tumors, struma ovarii, and generalized resistance to thyroid hormone or selective organ resistance to thyroid hormone. Besides, there are TSH-dependent variables due to inappropriate TSH secretion or lack of secretion such as pituitary adenoma, resistance states to thyroid hormone (generalized or pituitary), psychiatric states, smoking, and malabsorption. Besides, there are physiological variables, as follows: circadian rhythm, seasonal influences, environmental influences, exercise, posture, and pregnancy.

Furthermore, dealing with thyroid, latrogenic causes should always be considered, taking into account: prior thyroid treatment (surgical or medical), drug therapy (systemic or local), and plasmapheresis. Regarding pituitary function, some physiological variables can induce modifications to the pituitary secretion, as follows: age, travel (that influences both pituitary and adrenal functions), circadian rhythm and pulsatile release, seasonal influences, environmental influences, exercise, stress, and posture (3).

Moreover, there are pathophysiological variables acting on pituitary, such as malnutrition, starvation, lifestyle (e.g. smoking increases secretion; whereas alcohol reduces secretion), blindness (that causes loss of hypothalamic pituitary axis stimulation), and drugs (4).

Adding to the above issues, clinical laboratories should be aware of preanalytical problems that are particularly relevant when dealing with the immunoassays. The preanalytical phase is the major source of laboratory variability.

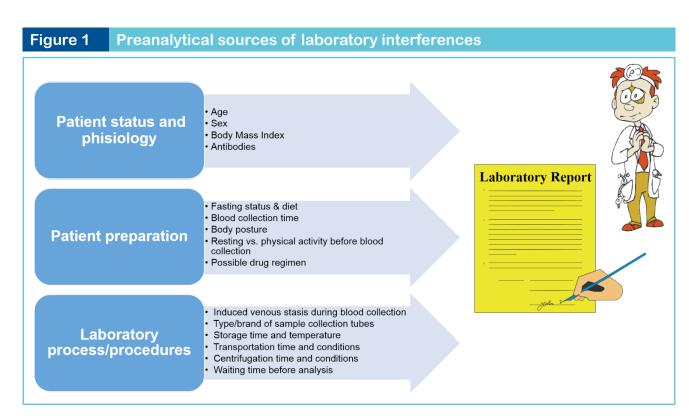
The most common preanalytical variables (Figure 1) could be classified in:

- i) patient status and physiology (5);
- ii) patient preparation (6-11); and
- iii) laboratory process/procedures (12-18)

The collection of liquid biological specimens by evacuated tubes is usual practice in healthcare and in veterinary care settings (19). The aim of this non-systematic critical review is to highlight the preanalytical interferences on laboratory immunoassays. To enrich the argument, we also provide an additional evacuated tube verification.

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### CAUSES OF PREANALYTICAL INTERFERENCES ON LABORATORY ASSAYS

### Lipemia, bilirubin, and hemolysis interference

Lipemia may interfere the antigen-antibody precipitation leading to falsely high results, whereas high bilirubin *per se* can cause spectral interferences in immunoassays with absorbance measurement readings at about 450-460 nm.

Hasanato et al., assessed the interference of progressively increasing amounts of hemoglobin, bilirubin and lipids added to sera on a series of immunoassays. The following immunoassays: ferritin, TSH, Vitamin  $B_{12}$ , progesterone and folic acid, all showed variable degrees of interference. It was observed that ferritin and TSH levels were overestimated in direct relationship with hemolysis, whereas Vitamin  $B_{12}$  progressively decreased. Progesterone levels decreased with increasing lipemia. Folic acid levels decreased with increasing bilirubin (20). Free hemoglobin, at different concentrations (g/L), has shown various levels of interference on different methods for anti-HIV-1 antibody detection, either immunochromatography (at 5.7 g/L), or enzyme-immunoassay (at 86 g/L), or chemiluminescence (at 115 g/L) based methods. Lipemia did not show any interference (21). The effects of hemolysis (H index range 0-1000), icterus (I index range 0-40) and lipemia (Lindex range 0-1000) were evaluated on three acetaminophen assays, respectively the Syva<sup>®</sup> EMIT<sup>®</sup>, the Microgenics DRI<sup>®</sup> assay, and the Roche assay on a Roche cobas<sup>®</sup> c501 or an Integra 800 analyzer. No interference was observed due to hemolysis and icterus on Syva® EMIT<sup>®</sup> and DRI<sup>®</sup> assay, whereas interference was marked on Roche assays; whereas lipemia was less evident on Roche than on Syva® EMIT® and DRI<sup>®</sup> assay (22).

Saracevic et al. reported interferences on resistin and myeloperoxidase immunosorbent assays from BioVendor. Both resistin and myeloperoxidase levels showed significant biases at 1 g/L of free hemoglobin (58.7% and 66.7%, respectively) and at 4.66 mmol/L triglycerides (33.8% and 12.2%, respectively) (23).

## Variables regarding patient status and physiology

Age and sex are particularly important for interpreting the results of hormones, mostly when considering the ample range of variations shown by several hormones during the life, from newborn to adult age. Each newborn shows the influence of the maternal hormones that mainly pass through placenta during pregnancy and can influence some physiological responses in babies, particularly as regards thyroid function (24). Even during the breast feeding there is passage of hormones from mother to newborn through the milk, particularly of hormones that are needed for regulating infant growth (25, 26).

With age progression the blood hormone profile changes accordingly and depending on sex, showing the effects both of sexual maturation by the relevant hormone levels and of the stature lengthening by the growth hormones. Gonadotropins FSH and LH show sharp increase with age in females, whereas in males LH appears rather stable. FSH is far higher in females than in males, particularly during puberty (27). Moreover, serum concentrations of thyroid hormones are reportedly different between males and females and for age groups in white children/ adolescents (28).

During maturity, the main hormone changes are shown at menopause by the sexual hormones in females. The hormone replacement therapy is used as a prevention treatment for women at the onset of menopause (29). The parallel andropause is a condition due to low plasma testosterone in conjunction with symptoms of reduced sexual function (30). With age both males and females are implicated by a progressive decay of the hormone profile, with some aspects regarding particular hormones such as the thyroid ones that should be carefully considered, since critical for several metabolic functions of the body (31). The female sex hormones show variations during the menstrual cycle depending on the length of the cycle (32). Important information to be gathered before blood collection regards exact day of cycle and/or intake of hormone replacement therapy and/or birth control pill. Moreover, estrogens influence thyroglobulin during the cycle, thus influencing fT4 levels. With menopause the cessation of ovarian production of estrogens and progesterone is preceded by irregular menstrual cycles (33). During this period estrogen, in particular, and progesterone decline, whereas FSH rises and high levels of this hormone are typically observed at the beginning of menopause, although with wide oscillations.

Measurement of serum testosterone level is important for the assessment of hypogonadism in men and androgen excess in women, where it vary mainly according to the phase of the menstrual cycle. Yet serum testosterone levels can vary widely, even between samples from the same patient and among different laboratory assays, due to a multitude of factors, such as diurnal variation, systemic illnesses, and seasonal variation, as well as assay-specific factors (34).

Kisspeptins (Kps) are peptide hormones, generators of both sex steroid negative and positive feedback signals for GnRH secretion in male and female at puberty, preserve male fertility, and govern the pre-ovulatory LH surge in females (35). Kps show very low values (<2 pmol/L) in male and in non-pregnant female; however, increase dramatically during pregnancy. There are some preanalytical issues to be considered: i) rapid degradation is observed in serum, therefore sample must be processed immediately after collection (freezing-thawing does not significantly affect results); ii) either heparin with 2000 U trasylol or EDTA should be used as anticoagulant, whereas citrate causes lowering of results.

The main hormone that determines the height growth at each stage of development is growth

hormone (GH), together with its mediator, insulin-like growth factor 1 (IGF-1). The presence of an alteration in the GH/IGF-1 axis in the pediatric population results in impaired growth (36). The assays of random serum GH concentrations are of no clinical value as GH secretion is pulsatile and most of GH pulses occur overnight, showing very low hormone concentrations between pulses. Thus, provocative tests of GH secretion using appropriate stimuli are employed to test for GH deficiencies (GHD). GH stimulation tests use a defined cut-off concentration for peak GH to distinguish deficient from non-deficient GH subjects. Serum IGF-I is synthesized in the liver under the control of GH and circulates bound to the IGF binding proteins (IGFBPs). There are six known IGFBPs of which IGFBP-3 is the major serum carrier of IGF-I. Unlike GH, serum concentrations of IGF-I and IGFBP-3 are stable. Total IGF-I concentrations in serum are mainly measured using assays that dissociate IGF-I from its binding proteins (37). Serum IGF-I concentrations vary with age and it must be considered that the normal range for serum IGF-I concentrations in young children superimposes on the range observed in children with GH deficiency. Moreover IGF-I concentrations are reduced in malnourished children, and/or with hypothyroidism, chronic disease, renal failure and diabetes (37). They also rise intensely during puberty. IGFBP-3 concentrations were thought to be potentially superior to measurement of IGF-I alone as IGFBP-3 is less nutritionally sensitive than IGF-I. However, multiple studies have found no difference in IGFBP-3 concentrations between GHD and non-GHD subjects (38), with a poor sensitivity at 50% and no advantage over measurement of IGF-I alone (39).

Body mass index increase (BMI), waist circumference and hip circumference have demonstrated association with increased levels of several sex hormones, in particular obese individuals show increased blood concentrations of estrone, estradiol and free estradiol with negative association with Sex Hormone Binding Globulin (SHBG) (40). Moreover, TSH has shown significant direct association with BMI, which has been explained as due to TSH directly stimulating preadipocyte differentiation that results in adipogenesis (41); whereas even leptin can be involved (42). Other studies have shown association between adiposity and prolactin, although more variables might be involved such as alcohol intake and sedentary lifestyle (43). Therefore, each country should define reference intervals and clinical decision limits to minimize the impact of age, sex and BMI on laboratory assays based in their population (44). High concentrations of paraproteins can interfere with various laboratory immunoassays, and laboratory personnel should be aware of this when the laboratory findings are discordant with the clinical findings (45). Possible false negative results have been reported as regards the assay of phenytoin in a patient with high levels of IgM. The interference caused no detection of the drug in a Particle-Enhanced Turbidimetric Inhibition Immunoassay (PETINIA) method notwithstanding, based on dosage, the patient trough plasma concentration was estimated between 5 and 10 mg/L (46). Moreover, false negative results for vancomycin were reported in a patient with high IgM levels, despite assuming appropriate dosage of the drug. The high IgM caused interference on a PETINIA method for vancomycin that was not evident when an enzyme multiplied immunoassay (EMIT) was employed (47). Very high, near to toxicity, 25-OH vitamin D level (327 nmol/L) was assayed on ARCHITECT. After recalling the patient to confirm the result, 49 nmol/L of 25-OH vitamin D was measured by mass spectrometry; whereas 289 nmol/L was measured by ARCHITECT. Briefly, the patient had high circulating IgG paraproteins with a clinical history of rheumatoid arthritis with rheumatoid factor (RF) and myeloma. Thus, the interference on immunoassay was due to IgG paraprotein,

but the contribution of rheumatoid factor could not be ruled out (48). As regards RF, falsely elevated results were reported in serum (but not in plasma) samples spiked with patient RF pool when vancomycin immune assays were performed (49).

The patient's blood itself can cause pre-analytical errors. Briefly, some patients have circulating antibodies that can strongly modify the results of the immunoassays and simulate a disease.

There are reports regarding:

- a) the interference of heterophile antibody affecting fT4 Roche immunoassay, indicating a suspect hyperthyroidism which revealed false after retesting on another platform (Centaur, Siemens) (50);
- b) cases of anti-streptavidin antibodies mimicking heterophilic antibodies in thyroid tests (51).
- cases of apparent hyperthyroidism due to Biotin-like interference from IgM anti-streptavidin, a pattern that mimics the excess biotin ingestion (52);

Moreover, drugs over the counter, high-dose biotin supplements are accompanied by observations of analytical interference by exogenous biotin (53) in the immunoassays used to evaluate endocrine function (54). Best practices have been proposed to avoid such interferences, comprising sample dilutions, retesting after biotin clearance, assessment of biotin presence (55).

### Variables due to patient preparation

Thyroid hormones appear influenced by fasting status or diet composition (6, 56). On the other hand, either diet composition, meal frequency or eating time, all can influence GH, prolactin, and cortisol levels (57). Moreover, treatments or drugs that delay gastric emptying and motility, such as omeprazole can act on the levels of some hormones. Fasting induces enhancement of GH release with a concomitant reduction of somatomedin C. The GH release is achieved through combined frequency and amplitude modulation (57). Ghrelin is controlled by food intake, being higher during fasting or before meals then decreasing after meal. It is known as the "hunger hormone" since after being released mainly by gastric cells – and also by gut, pancreas and brain cells – it stimulates appetite and consequent food intake and fat accumulation acting on hypothalamus. However, ghrelin is more than that, since it regulates glucose homeostasis by inhibiting insulin secretion. Moreover, it shows a series of positive effects on heart, muscle and bone (58).

To increase accuracy, testosterone in men should be measured with a fasting morning sample and repeated if the level is found to be low; in women, the laboratory must perform the measurements at the follicular phase of the cycle. In both cases, borderline results may be clarified by the assessment of free testosterone. Thus, interpretation of testosterone results is challenging. Presently the reference assay method for measuring blood testosterone levels is based on mass spectrometry (59). Moreover, as avoidance of fasting time could jeopardize result accuracy and thus patient safety (60), 12h overnight fasting before blood sampling for laboratory immunoassays are recommended (6, 8, 61).

Short-term studies have shown that caffeine increases insulin levels, reduces insulin sensitivity and increases cortisol levels (62). Coffee has been considered to influence the energy intake possibly acting on appetite hormones. On this note, it has been shown that although coffee and caffeine ingested 0.5-4 h before a meal can suppress acute energy intake, the influence on appetite hormones appears equivocal (63). Moreover, habitual coffee intake is related to effects on either glycerophospholipid metabolism (64) or several metabolic pathways (65). All the above suggest that before blood collection, patients should be requested to inform about their drinking coffee habit, either short-term or long-term.

The nicotine from smoking increases cortisol, GH, prolactin, LH, ACTH and DHEA, in males; similar effects are observed with intravenous cocaine abuse (66, 67). On the contrary, nicotine decreases prolactin in pregnant (68) and in lactating women (69) (without effect on fetal prolactin).

Many hormones show diurnal variations (e.g. ACTH, cortisol, GH) and pulsatile secretion is reported for some hormones with short time variations, up to 25%. The pituitary hormones show a circadian cycle that is linked to the sleepwake rhythm. Cortisol shows diurnal variation, with the peak value at about 8 am in the morning and the lowest levels in the late night until early morning, from 12 pm to 4 am. Individuals affected by Cushing's syndrome show incongruously high ACTH and cortisol levels during late night hours. Thus, the sample collection time should be planned consequently. Cortisol can be assayed either in serum or in saliva (70). The salivary cortisol assay was described several years ago. It has the advantages of being simple to collect, non-invasive and the sample can be easily stored for repetition. The advantages of salivary cortisol assays have been evidenced in patients with Addison disease and appear more adequate than serum in the screening for Cushing disease after assaying salivary samples obtained during night time (71). When collecting saliva samples, it is important to instruct the patient to avoid hydrocortisone containing creams or ointments in order to prevent analytical interference (72). Salivary melatonin assay can be a reliable non-invasive biomarker of the circadian secretive rhythm of the pineal gland (73). Prolactin is another hormone with diurnal variation and with higher levels at night (74). Prolactin levels in samples taken in the morning can sometimes show increased levels thus indicating a hyperprolactinemia status. Since prolactin diurnal variation is associated with night peak that sometimes declines steadily and prolongs in the first morning hours, there are individuals showing late reduction of prolactin to the reference values observed in the morning values. Besides a true hyperprolactinemia, elevated morning levels can be due to stress conditions, medications or spurious hyperprolactinemia. Indeed, in most cases after sample retaking a normal value is observed. For these reasons and for avoiding unnecessary additional tests, it has been suggested to delay late in the morning the sample collection for prolactin assays, i.e. around 11 am (75). The measure of PTH is a second-level examination, requested by physicians with other tests: e.g., calcium to elucidate a hyperparathyroidism hypothesis (76). It is recommended that blood samples for PTH measurement should be taken, ideally between 10:00 and 16:00, and plasma separated within 24 h of blood collection. Plasma samples should be stored at +4°C and analyzed within 72 h from collection (77). Therefore, considering that for several hormones the diurnal variations are critical for result interpretation, we suggest that blood withdrawal hours should be appropriately defined to guarantee suitable laboratory results and thus patient safety.

# Variables due to sample matrix and evacuated tube

Different laboratory results, including hormones, were reported using different brands of serumand plasma-evacuated tubes (13, 78, 79). The reasonable cause for these divergences was ascribed to interaction between blood and components in the evacuated tubes, e.g. surfactant(s), stopper(s), stopper-lubricant(s), separator gel(s), and additive(s) (80, 81). The commonly used tube surfactant – Silwet L-720 – has been reported to cause the desorption of antibodies from the solid phase (82). Moreover, samples

collected on SST tubes (BD Vacutainer) have been reported with values of total triiodothyronine, measured by the Immulite 2000, significantly higher than samples collected in glass or Vacuette tubes (Greiner Bio-One). Alterations in concentrations of triiodothyronine, thyroxine and cortisol in either quality control materials or in serum specimens have been reported after collecting or pouring in different blood-collection tube types (83). Discrepancies have been observed in C-reactive protein (CRP) assays measured in serum samples from SST tubes, in particular lower values in SST serum than in serum from plain tubes. It appears due to SST adsorption of some CRP macromolecules that form complexes with SST gel (84). Therefore, the need to verify the suitability of the evacuated tubes employed in sample collection for immunoassays is mandatory in order to avoid unwanted outcomes and to warrant the safety of the patients' results (8, 85, 86).

Plasma vs. serum samples reveal different hormone results due to interferences from lithium heparin, EDTA, sodium fluoride, or potassium oxalate (87). Parathormone (PTH) levels decrease after blood collection in either EDTA or serum samples, with divergent results. A greater stability has been reported for PTH collected in potassium EDTA (88).

The Clinical and Laboratory Standards Institute recommend to follow the instructions from evacuated tubes manufacturer regarding: sample mixing, resting time before centrifugation, centrifugation time, g-force (89). As far as we know, Vacumed <sup>®</sup> (FL Medical, Torreglia) lacks a formal specification regarding sample processing and handling. Thus, after having checked other brands information (90-92), we decided to verify this evacuated tubes (Table 1). A sometimes-overlooked fact is that the accuracy of blood drawing with evacuated tube systems is influenced by altitude, because of variations of the atmospheric pressure. It is well known that reduced blood volumes are collected into the tubes in altitude settings (90), thus, altering the blood/additive ratio (91) (Table 2).

Table 1 Verification of Vacumed ®					
Evacuated tubes		Additives	Mix by gently inversion	Centrifugation	
				g-force	time (min)
42010; 42011; 42012 43016 44019		K <sub>2</sub> EDTA	2 X	1200	15
42110; 42111; 42112 43116 44119		K <sub>3</sub> EDTA	2 X	1200	15
43	211; 42212 216 219	Potassium Fluoride + K <sub>3</sub> EDTA	3 X	1000	15

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42310; 42311; 42312 43316 44319	Lithium Heparin	2 X	1200	15
43350; 43351; 43352 43356 44359	Sodium Heparin	3 X	1200	15
42410; 42411; 42412 42508 42510 42512	Sodium Citrate 3.2%	2 X	1500 NA NA NA	15
42611; 42612 43616 44619	Clot activator	2 X	1200	15
42691 43696 44698	Clot activator + sferes separator	3 X	1000	15
42711 43716 44718	Clot activator + gel separator	2 X	2000	10
42811 43816 44818	gel separator + Lithium Heparin	2 X	1800	10
42851 43856 44858	K <sub>2</sub> EDTA + gel separator	2 X	1600	10

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42910; 42911; 42912 43916 without additive 44919	NA	2200 for whole blood	10
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Note: Serum tubes should rest at least 45 min in upright position after blood collection and before centrifugation. However, should be centrifuged within 2 h after blood collection. All tubes including tubes with gel separator should be transported in upright position after centrifugation process. The results reported in this table is part of the project 2451/15 of University of Verona.

Table 2       Mean volume filling regarding different altitudes						
Altitude (m)		Mean volume filling of evacuated tubes				
		4.5 mL	3.5 mL	3 mL	2 mL	
0		4.50	3.50	3.00	2.00	
250	)	4.37	3.40	2.91	1.94	
500	)	4.24	3.30	2.83	1.89	
100	0	4.00	3.11	2.67	1.78	
125	0	3.89	3.02	2.59	1.73	
150	0	3.78	2.94	2.52	1.68	
175	0	3.67	2.86	2.45	1.63	
2000		3.57	2.78	2.38	1.59	

Note: Results are presented as mean volume from five evacuated tubes producers – BD Vacutainer<sup>®</sup>, Becton, Dickinson and Company; Vacuette<sup>®</sup>, Greiner Bio-One; Vacumed<sup>®</sup>, FL Medical; Vacutest<sup>®</sup>, Kima; and S-Monovette, Sarstedt using the vacuum technique – filled with a solution with density and viscosity like whole blood. Volumes (mL) reported by the evacuated tube manufacturer at sea level (0 m) are presented in bold. Altitudes are referred in meters above sea level. All tubes used were 13x75 mm.

#### CONCLUSIONS

In immunochemistry assays the results can be significantly and positively influenced by the appropriateness of the procedures adopted along the process starting from test prescription and ending with result interpretation. Particular attention should be addressed separately to variables that on the contrary could be viewed as a collective whole in the pre-analytical phase, therefore resulting in less influence on the outcomes and possibly misleading in the interpretation. In this context it should be summarized that:

- results can be significantly influenced by the techniques adopted, that in turn can be affected by some preanalytical conditions;
- age and gender are important variables, particularly in newborn, during sexual maturation and childbearing;
- stress can influence GH, prolactin cortisol and the total/free ratio of thyroid hormone;
- diurnal variations, day of cycle, influence by estrogens on thyroid hormone are to be accounted for result variability;
- in adults/elders some results can be interfered by autoantibodies;
- some additives and anticoagulants of collection tubes can influence specific assays;
- hemolysis, lipemia and bilirubin cause interferences on specific techniques/tests;
- paraproteins mainly cause interferences;
- specific issues should be considered as regards thyroid, pituitary and sex hormones;
- nicotine and cocaine addictions interfere with some hormones.

Therefore, in providing the results to the patients, laboratory professionals have the responsibility of ascertaining that all the above have been checked and duly evaluated and the appropriate actions have been accomplished to avoid any relevant interferences.

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